

CURRICULUM VITAE

LANTIS G. ROBERTS, ESQ.

1502 Houston Street, Suite 108

Grand Prairie, Texas 75050

817.768.1819 - Office

LANTIS@KREATIVELAW.COM

EDUCATION:

<i>Texas Wesleyan University School of Law</i> Fort Worth, Texas	Juris Doctorate	2009
<i>Amberton University</i> Garland, Texas	MBA – Management	2001
<i>The University of Texas at Arlington</i> Arlington, Texas	B.A. in Psychology Minor in Military Science	1998

PROFESSIONAL LICENSES & BAR ADMISSIONS

- Texas; 2009
- Northern District of Texas U.S District Court; 2010
- Northern District of Texas U.S. Bankruptcy Court; 2010
- United States Court of Appeals for the Armed Forces; 2011
- U. S. District Court of Colorado; 2012
- U.S. Bankruptcy Court of Colorado; 2012
- United States Supreme Court; 2012
- Northern District of Illinois U.S. District Court; 2014
- United States Court of Appeals for the Fifth Circuit; 2014
- Eastern District of Texas U.S District Court; 2016
- Eastern District of Texas U.S. Bankruptcy Court; 2016

TRAINING

- Basic Training I: Fiduciary, U. S. Department of Labor, Boulder, Colorado, 2010
- Basic Training II: Investigative Techniques, U. S. Department of Labor, Nashville, TN 2010
- Criminal Enforcement Training, U.S. Department of Labor, Fort Lauderdale, FL, 2011
- Accounting Training, U. S. Department of Labor, Fort Lauderdale, FL, 2011
- Case Organization & Presentation, Federal Law Enforcement Training Center (FLETC), 2012
- Collaborative Leadership, Western Development Management Center, Aurora, CO, 2012
- Advanced Issues and Techniques, U.S. Department of Labor, Aurora, CO, 2013
- International Banking and Money Laundering, Federal Law Enforcement Training Center (FLETC), 2014
- Southwest Securities Conference with FINRA, U.S. Securities and Exchange Commission and Texas State Securities Board, 2011, 2012, 2013, and 2014.

ACADEMIC EXPERIENCE

Texas Wesleyan University School of Law
Fort Worth, Texas

January 2010 – August 2012

Preparing for the Bar Exam Course Grader

- Prepared 3rd year law students for the Essay and Multi-State Performance Texas (MPT) portions of the Texas Bar Exam
- Utilized Lexis Nexis Blackboard to manage course content
- Graded and provided detailed written feedback to students on their MPT and Essay assignments
- Provided students with exam tips to increase their chance of passing the Texas Bar Exam on the first attempt
- Assessed whether the students had a complete understanding of the practical and academic aspects of each task
- Assessed whether students were able to assimilate and digest law and factual materials
- Assessed whether students were able to incorporate law and facts into a well-reasoned, organized, responsive product in the time allotted
- Conducted in-person conferences with each student to discuss their performance on each assignment and other matters relevant to the student's success on the bar exam
- Served as mentor to students while they are preparing for the Texas Bar Exam
- Provided advice and guidance on how to study for and approach the Texas Bar Exam

EMPLOYMENT

THE LAW OFFICE OF LANTIS G. ROBERTS, PLLC, Grand Prairie, Texas
Attorney

2009 – present

- Practices law in the areas of Labor & Employment, Personal Injury, Civil Rights, Bankruptcy, and Complex Civil Litigation
- Represent employees on employment law related matters including ERISA, Fair Labor Standards Act, and Discrimination under Title VII of the Civil Rights Act, and Section 1981
- Represent small business for compliance purposes and certain litigation matters.
- Represent Federal Employees on discrimination complaints through the EEO (Equal Employment Opportunity) process, including before the ALJ (Administrative Law Judge) and Federal Court litigation.
- Represent employers to ensure compliance with ERISA regulations and provide defense to employers who undergoing an investigation by the U.S. Department of Labor
- Represent consumer debtors in Chapter 7 and Chapter 13 bankruptcy proceedings
- Represent injured persons in personal injury matters, motor vehicle accidents, premises liability, and wrongful death. We serve as Plaintiff Counsel only.
- Represent clients on appellate matters in Federal Court.
- Conduct consultations and screen potential clients
- Conduct interviews of clients, defendants, and witnesses
- Draft pleadings, motions, briefs and other legal memoranda
- Conduct legal research
- Conduct investigations
- Provide accurate, timely and effective legal advice to clients, individuals and businesses

- Develop and implement litigation, discovery, settlement and trial strategies.
- Represent clients in mediations and settlement negotiations.
- Represent clients in investigations, hearings, jury trials, bench trials, and appeals.
- Supervise employees
- Develop and implement marketing strategies for the office

U.S. Department of Labor, Dallas, Texas
Employee Benefits Security Administration
Investigator

2009 - 2014

- Initiate, plan, conduct, and coordinate investigations of major inter-jurisdictional or national employee benefits plans, service providers and trust departments with complex organizational structure consisting of multiple entities.
- Conduct conferences, interviews and correspond with Plan officials, attorneys, CPA's, bank officials, insurance officials, law enforcement agents and specialists, agents, investigators, and auditors in other Federal and State agencies.
- Recommend compliance actions and negotiate with plan officials and fiduciaries to secure voluntary compliance, seeking monetary restitution and other remedial actions.
- Work closely with the legal staff of the U. S. Department of Labor's Solicitor's Office and the U. S. Department of Justice in preparing cases for litigation and providing technical guidance.
- Independently and/or upon request from the U.S. Attorney's office, investigate allegations of criminal misconduct related to employee benefit plans.
- Served as Regional Coordinator for the Voluntary Fiduciary Corrections Program (VFCP).
- Mentored new investigators and provided on the job training.
- Presented ERISA related materials at conferences or meetings on behalf of the Department.
- Responsible for conducting investigations in Texas, Louisiana, New Mexico, Oklahoma, and Arkansas.
- Conducted investigations on various types of employee benefit plans to include 401(k) Plans, 403b Plans, Union Plans, Multi-Employer Plans, Cafeteria Section 125 Plans, Travel policy plans, Welfare Benefit Plans, Health Plans, ESOPs, Profit Sharing Plans,
- Conducted investigations on Prohibited Persons who have received criminal convictions and barred from serving as a fiduciary on an employee benefit plan. More commonly known as a Section 411 investigation.
- Conducted investigations on various service providers to include Funds Custodians, Third Party Administrators (TPA), Investment Firms, and Financial Institutions
- Served on the North Texas Healthcare Fraud Task Force
- Coordinate investigations and/or resources with other regulatory agencies including Federal Bureau of Investigation (FBI), South Dakota Department of Banking, Dallas County District Attorney's office, U.S. Attorney's Office for the Northern District of Texas, U.S. Securities and Exchange Commission, U.S. Department of Labor Office of Inspector General (OIG), U.S. Department of Health and Human Services (HHS)

- Developed a general base of knowledge of the Employee Retirement Income Security Act (ERISA) of 1974.
- Provided general guidance and assistance to plan administrators, participants, beneficiaries and the general public.
- Identify ERISA issues and assist in negotiating and resolving issues with Plan Administrators, third party administrators, and employee benefit plans.
- Provide support to the investigative staff in their investigation activities concerning alleged violations of ERISA laws and regulation.

MILITARY

- **Honorable Discharge – Texas Army National Guard - 1999**

SPEAKING ENGAGEMENTS/PRESENTATIONS

- “Voluntary Fiduciary Corrections Program”, Texas Society of CPAs, Fort Worth, Texas, January 2012
- “Voluntary Fiduciary Corrections Program”, Webinars, Dallas, Texas, March 28, 2012
- “Voluntary Fiduciary Corrections Program”, U.S. Department of Labor Seminar, Dallas, Texas, May 22, 2012
- “Voluntary Fiduciary Corrections Program”, Webinars, Dallas, Texas, July 2012
- “Section 408(b)(2) Fee Disclosure”, American Society of Pension Professionals & Actuaries, Waco, Texas, September 2012.
- “The 4 C’s of Leadership”, Spring 2014 Graduates of UT-Arlington, Arlington, Texas April 26, 2014
- Entrepreneur Forum Panelist, Iota Phi Lambda Business Sorority, Epsilon Omicron Chapter, Arlington, Texas April 11, 2015
- DOL Knocked, You Answered, What Next?, Tarrant County Bar Association, Labor & Employment Section, Fort Worth, Texas, March 22, 2016

PROFESSIONAL MEMBERSHIPS

- Tarrant County Bar Association
 - Bankruptcy Section
 - Consumer & Commercial Law Section
 - Labor & Employment Section
- Dallas County Bar Association
 - Employee Benefits & Executive Compensation Section
- Dallas Young Lawyers Associations (DAYL)

COMMUNITY INVOLVEMENT

- Volunteer as a Pro-Bono Attorney to the Dallas Volunteer Attorney Program (DVAP)
- Volunteer as a Pro-Bono Attorney to American Bar Association Military Pro-Bono Project
- Volunteer as a Pro-Bono Attorney to Human Rights Initiative of North Texas (HRI)